Exploring Fault-Tolerant Shell-Script Distribution

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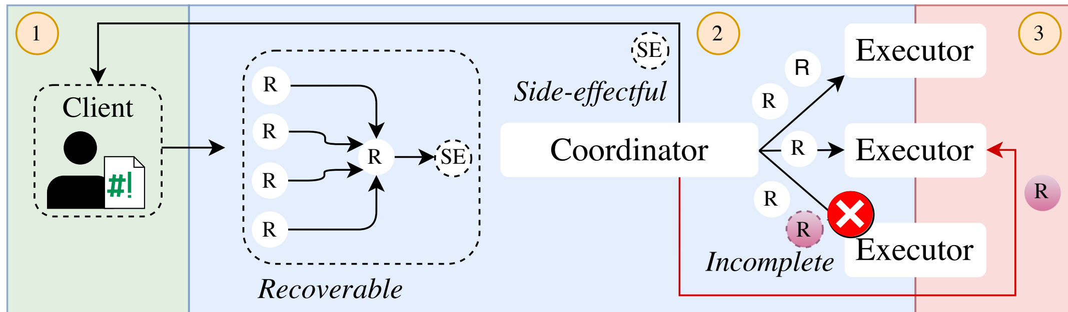

Fig. 1: FRACTAL's high-level workflow. FRACTAL (1) isolates side-effectful regions from recoverable regions; (2) executes recoverable subgraphs on nodes, tracking locality, dependencies, progress, and health; (3) detects failures, re-scheduling the minimal set of unfinished subgraphs for re-execution.

Abstract

Despite their ubiquity in data processing, shell scripts resist fault-tolerant distributed execution: they compose black-box commands over ad-hoc pipes, perform arbitrary side effects, and expand dynamically at runtime. In this report, we identify the key design space and implement a concrete prototype, FRACTAL, that satisfies key desiderata for fault-tolerant shell-script distribution. FRACTAL first identifies recoverable regions from side-effectful ones, and augments them with additional runtime support aimed at fault recovery. It employs precise dependency and progress tracking at the subgraph level to offer sound and efficient fault recovery. It minimizes the number of upstream regions that are re-executed during recovery and ensures exactly-once semantics upon recovery for downstream regions. On 4- and 30-node clusters, it recovers 7.8–16.4× faster than Hadoop Streaming in cases of failures.

1 Introduction

The Unix shell remains the 8th most popular language on GitHub in 2024 [24], widely used for a variety of workloads [21, 32, 34, 57, 64]. Its popularity can be attributed to several characteristics, including (1) language-agnosticism, flexibly composing an arsenal of task-specific components available in a variety of languages, and (2) dynamism, providing features such as command substitution, variable expansion, and file system reflection.

Unfortunately, these characteristics complicate *fault-tolerant* shell-script scale out. The black-box nature of third-party components complicates recovery after node failures by hindering internal state tracking and limiting scale-out opportunities. Dynamic behaviors and arbitrary side effects make re-executing script failed fragments challenging, affecting the correctness of re-executed scripts. Tolerating faults is often at odds with retaining the shell's expressiveness without requiring users to modify existing (often legacy) scripts.

Design Space: At its core, a fault-tolerant shell-script distribution system must track progress even when commands are opaque ("black-box state handling"). It also needs to guarantee exactly-once delivery across ad-hoc UNIX pipes ("ad-hoc pipe streaming integrity"). When retries occur, it should prevent unintended side effects or partial updates ("side-effect management"). Because scripts can spawn new commands at runtime, support for loops, conditionals, and variable expansion without a fixed DAG is essential ("dynamism compatibility"). Recovery should be as precise as possible, re-running only those pieces that actually failed ("fine recovery granularity"). Finally, all of this must happen transparently, without forcing users to rewrite their existing POSIX scripts ("no script modification").

Limitations of Existing Approaches: Checkpointing-based systems [6, 7, 9, 40, 66], barrier-based models [12, 13, 29], and lineage replay frameworks [33, 73] each violate one or more of these desiderata (*viz.* §2). As a result, while resaerch on and around the shell is exploding [27, 28, 37, 43, 54, 56, 68], currently no system tolerates failures during the distributed shell-script execution.

Prototyping Fault-Tolerant Shell-Script Distribution with Fractal: FRACTAL is a proof-of-concept prototype for fault-tolerant shell-script distribution: it operates on existing shell scripts without modification, supports the shell's dynamic features, composes arbitrary black-box commands, and recovers from node failures. It instantiates key design-space choices:

- *DFG augmentation* with byte-level remote pipes for exactly-once semantics.
- *Compact progress events* (17 bytes) and HDFS heartbeat polling for precise failure detection.
- *Subgraph-level replay* of only those fragments whose execution was interrupted.

This reference implementation demonstrates how to satisfy all six desiderata without modifying user scripts and informs the trade-offs a full system must navigate.

Key results: FRACTAL recovers from faults within 1.26× of the script's fault-free runtime, achieving a 9.3× speedup over AHS—while supporting improved expressiveness and no manual modifications to the source programs.

Contributions:

- **Characterize** the fundamental design dimensions for fault-tolerant shell-script distribution.
- **Design** FRACTAL, a reference prototype demonstrating one viable combination of these design decisions.
- **Implement `frac`**, a fault-injection tool for precise, large-scale experiments under real-world conditions.
- **Evaluate** the prototype on 4- and 30-node clusters, measuring instrumentation overhead and recovery latency to illuminate the trade-offs any production system must address.

2 A Design Space For Fault-Tolerant Shell-Script Distribution

This section begins by outlining the desiderata for fault-tolerant shell-script distribution (Table 1, col. 1), derived from the shell's unique characteristics. It then examines the limitations of existing fault-tolerance mechanisms in meeting these desiderata (Table 1, cols. 2-4). Finally, it presents FRACTAL's design for meeting these desiderata (Table 1, col. 5).

We assume that *worker nodes* may crash in either fail-stop or fail-restart fashion, mirroring typical large-scale deployments on commodity hardware. The *control plane* or the *client node* resilience lies outside the scope for this discussion and can be mitigated with established techniques including durable state logging, consensus protocols, and leader election via ZooKeeper [30].

2.1 Desiderata

Shell scripts uniquely blend diverse commands, streaming pipelines, flexible control flow, and dynamic expansion at runtime. While this provides unmatched expressiveness and simplicity, it complicates fault-tolerant execution significantly. To guide our design, we identify six key fault-tolerance desiderata that any robust shell-script distribution mechanism must satisfy.

D1 **Black-box state handling**: Shell pipelines invoke external binaries (*e.g.*, `sort`, `grep`, `unzip`) whose internal state cannot be inspected or checkpointed. Such commands may hold gigabytes of data internally without any API for partial snapshots, making it impossible to selectively roll back and resume. A fault-tolerance scheme must recover progress without requiring analysis of or hooks in these opaque commands.

D2 **Ad-hoc pipe streaming integrity**: Shell commands communicate via unstructured byte streams over ad-hoc UNIX pipes, with arbitrary buffering, chunking, and transformation semantics that vary by command. Failures leave no record of how many bytes or which logical "records" were consumed, and replaying an opaque stream risks duplicating or dropping data. Under failure, the system must guarantee exactly-once delivery so that no data is lost or duplicated despite retries.

Tab. 1: Comparison of fault tolerance mechanisms across key desiderata in shell scripts.

Desideratum	Checkpointing [6,7,9,40,66]	Barrier-based [12,13,29]	Lineage-based [33,73]	FRACTAL
D1 Handles Black-Box State	No	Yes	No	Yes
D2 Ad-Hoc Pipe Streaming Integrity	No	No	No	Yes
D3 Side-Effect Management	Partial	No	No	Yes
D4 Dynamism Compatibility	Partial	No	No	Yes
D5 Recovery Granularity	Coarse	Coarse	Fine	Fine
D6 No Script Modification	Partial	Partial	No	Yes

D3 **Side-effect management**: Shell commands often perform non-idempotent external actions (*e.g.*, appending to files, making network calls, updating system states) that modify the environment. Simply re-running a partially completed side-effectful command can append data again or re-trigger external actions. Recovery must prevent repeated side effects or orphaned partial writes.

D4 **Dynamism compatibility**: Shell scripts resolve control flow and command invocations only at runtime via loops, conditionals, and variable expansions. A fault-tolerance design must support these on-the-fly pipelines and not assume a static operator graph.

D5 **Fine recovery granularity**: Shell pipelines often chain many long-running commands, so re-executing whole stages or other coarse-grained recovery units wastes substantial work. Achieving fine-grained replay is further complicated by black-box commands with opaque internal state and by ephemeral outputs already consumed downstream. Therefore, a robust recovery mechanism must isolate and replay only the minimal affected fragment of the workflow.

D6 **No script modification**: Shell scripts are notoriously difficult to program and maintain [22,25], and modifying or re-implementing legacy scripts can be costly and error-prone [18,19]. Thus, forcing rewrites is prohibitive. An ideal solution should preserve existing scripts unchanged, transparently adding recovery support.

2.2 Existing Approaches

Here, we analyze existing fault-tolerance mechanisms and their limitations in meeting the desiderata for shell-script distribution, using three representative paradigms: checkpointing, barrier-based, and lineage-based systems (some systems incorporates facets from multiple paradigms). It is worth noting that, *in practice, failing to meet even one of the desiderata may be enough to disqualify a system as a viable solution for shell scripts.*

Checkpointing: Checkpointing-based systems capture periodic snapshots of process or operator state [6,7,9,40,66]. This model succeeds when the runtime exposes hooks into each operator, as in streaming engines or controlled process trees. D1 checkpointing systems require components to implement APIs such as `get-processing-state` [7] and `getState` [51] to retrieve internal state, but this approach is not viable due to the opaque nature and language agnosticism of shell commands. Incremental or per-operator snapshots reduce overhead but still require instrumentation inside each command, which is impossible for opaque shell binaries. D2 Frameworks like Flink [6], Storm [66], and Kafka [40] embed barriers or use offset-tracked logs, but UNIX pipes lack any barrier semantics or offset markers, making it infeasible to checkpoint and resume a byte stream without rewriting the pipeline around an external broker. D3 Transactional sink APIs (*e.g.*, Flink's `TwoPhaseCommitSinkFunction`) manage side-effect writes within the framework, but shell scripts perform arbitrary file and network I/O outside any transaction boundary. D4 Traditional checkpointing assumes a fixed set of operators known ahead of time, complicating recovery when new processes appear mid-execution. CRIU only snapshots processes it has been explicitly told to monitor, whereas shell loops and `eval` spawn new binaries at runtime without notifying CRIU—capturing those on-the-fly children would require continuous shell-level hooks to register each new process, which is impractical. D5 Full snapshots capture entire pipelines or process trees, imposing high overhead and causing unnecessary re-execution for chains of short-lived commands; per-command checkpointing would introduce prohibitive runtime overhead and complex coordination. D6 Adopting checkpointing for shell scripts demands wrapping or replacing every invocation, violating the no-modification requirement for legacy or ad-hoc scripts.

Barrier-based: Barrier-based systems such as MapReduce [12,13] achieve fault tolerance by retrying entire map or reduce tasks upon failures, relying on a static task graph. D1 While this model supports black-box tasks, it lacks the ability to resume partially completed computation: failed components must restart from scratch, even if most work had completed. D2 Barrier-based models are not ideal for streaming data; their retry model simply replays upstream outputs, leading to potential duplication and breaking exactly-once guarantees. Streaming extensions (*e.g.*, Kafka Streams [40]) guarantee exactly-once by buffering

entire micro-batches or writing to durable topics, but to adapt raw UNIX pipes one must replace each | with a brokered topic. This forces serialization and network hops for every pipeline edge and introduces head-of-line blocking at batch boundaries, undermining the shell's low-latency, in-memory streaming model. D3 Barrier-based retries rerun every command in a failed task including any non-idempotent side-effectful commands such as file appends or HTTP calls. Because there is no transactional or deduplication API at the shell level, each retry re-issues external side-effects, and preventing duplicates requires invasive wrappers or bespoke idempotency logic around every shell command, violating transparent execution. D4 The static task graph must be fully specified before execution; shell scripts that spawn new commands via loops or `eval` cannot be dynamically incorporated, leaving on-the-fly pipelines untracked. D5 Recovery granularity is fixed at task boundaries; defining each shell command as its own task could narrow scope but forces scripts to be restructured into dozens or hundreds of map/reduce jobs, incurring prohibitive scheduling overhead. D6 Finally, while MapReduce does not mandate full rewrites, it still requires structuring logic into map and reduce phases—limiting flexibility and imposing extra effort when adapting existing or evolving shell scripts. Hadoop Streaming [29] supports arbitrary binaries but still forces explicit mapper/reducer wrappers, violating the no-modification desideratum.

Lineage-based: Lineage-based fault tolerance mechanisms, as in Dryad [33] and Spark [73], record a DAG of operator dependencies and recover by replaying only failed tasks. While effective for deterministic dataflows within a single framework, they struggle with the ad-hoc, mixed environment of shell pipelines. D1 Lineage frameworks assume each operator is a pure function of its visible inputs and outputs, but black-box shell commands (e.g., `sort`, `uniq`) buffer and transform data internally without producing retrievable artifacts, so their progress cannot be reconstructed from lineage alone. D2 Spark Streaming enforces exactly-once by slicing streams into micro-batches with checkpointed offsets and write-ahead logs, but ad-hoc UNIX pipes are unbounded byte streams with no batch boundaries or offset metadata, making transparent mid-stream resume or replay impossible without rewriting each pipe as a Spark streaming stage. D3 Lineage frameworks mitigate side-effects via transactional sinks only if every write goes through their API, but shell commands perform arbitrary I/O (*e.g.*, », `mv`) outside any transaction boundary, requiring invasive wrappers to prevent duplicates. D4 Dynamic DAG registration in systems like Spark Structured Streaming still requires user callbacks (*e.g.*, `writeStream`), whereas shell loops and `eval` spawn processes silently, leaving lineage unnotified and unprepared to recover new branches. D5 Lineage-based models can recompute with a fine granularity as long as the tasks and dependencies are explicitly captured within the lineage framework, where they recompute only the failed partition(s) and their direct dependencies. D6 Lastly, lineage-based approaches impose significant restructuring burdens on script authors; shell scripts must be rewritten into deterministic, functional transformations conforming to the lineage system's programming model, a requirement particularly cumbersome for legacy or rapidly evolving scripts.

2.3 Our approach

At its core, FRACTAL treats *a program fragment*, as the atomic unit of computation. This design avoids costly instrumentations of every individual shell command while remaining fine-grained enough to avoid large-scale re-execution. At fragment boundaries, FRACTAL injects minimal runtime primitives that transparently track progress and enable precise recovery without affecting the internal logic of any black-box command.

This model addresses the key challenges of shell-script fault tolerance. D1 By tracking only inputs and outputs for each fragment, we never peek inside a command's memory or file descriptors. Each command remains unmodified; recovery works solely from its byte-stream boundaries. D2 Byte-level progress tracking guarantees no data loss or duplication, even when a fragment mixes streaming filters with blocking operators. D3 Commands with non-idempotent side effects remain in a special fragment under user control; distributed fragments perform only pure data transformations or write to isolated files that can be atomically swapped in upon success. D4 Fragments are derived at compile time from the AST, so any new commands—spawned via loops, conditionals, or environment expansions—automatically become first-class fault-tolerance units without requiring a static representation. D5 FRACTAL recovers at the fragment level, not per-command. Command-level recovery would incur prohibitively high scheduling and bookkeeping overhead. Fragment-level recovery strikes a sweet spot: small enough to avoid re-doing large amounts of work, yet coarse enough to amortize the runtime instrumentation cost. D6 All fault-tolerance logic is injected by the compiler. Users run unmodified POSIX shell scripts under FRACTAL, without needing to reexperss their script in constrained APIs.

3 Example and Overview

Scripts that process large datasets usually need to interact with distributed file systems such as HDFS [59], NFS, or Alluxio [42], as their input data does not fit on a single computer. FRACTAL scales out the computation to facilitate data locality, data

```
1  #!/bin/bash
2  in=${in:-$TOP/log-analysis/nginx-logs}
3  out=${out:/outputs}
4  bots='Googlebot|Bingbot|Baiduspider|Yandex|'
5  mkdir $out && hdfs dfs -mkdir /log-analysis
6
7  # 1. Download and store nginx logs to HDFS
8  wget "$SOURCE/data/nginx-logs.zip"
9  unzip nginx-logs.zip && rm nginx-logs.zip
10 hdfs dfs -put nginx-logs /log-analysis/nginx-logs
11
12 # 2. Analyze log files
13 for log in $(hdfs dfs -ls -C $in); do
14   name="$out/$(basename "$log".log)"
15   # 3. Identify bot IPs by visit frequency
16   hdfs dfs -cat "$log" | grep -E $bots | cut -d" " -f1 |
17         sort | uniq -c | sort -rn  >> "${name}.out"
18   # Further analysis omitted for brevity...
19 done
```

Fig. 2: Log analysis script (*Cf.*§3). The script downloads Nginx logs, stores them on a distributed filesystem, and analyzes them to extract traffic statistics—slightly modified from POSH [56] to highlight idiomatic shell challenges.

parallelism, and pipeline parallelism, while ensuring recoverability when a participating node fails.

Example script and problem: Fig. 2 presents an example shell script analyzing log files generated by Nginx, divided into three parts: (1) setup (L_{7-10}), downloading 150GB of log data and storing them on HDFS; (2) driver (L_{13-14}, L_{19}), iterating over the HDFS directory, piping log files to the analysis pipeline and appending results to a dynamically determined local file; and (3) analysis (L_{15-18}), identifying known bot IPs by visit frequency.

A developer opting for distributed execution, either manual or more recently automated [54, 56], is left with only one option when a node—*i.e.*, part of Fig. 2—fails: to restart the entire computation. Unfortunately, such a restart impacts both *performance*, as a full rerun will waste over 3 hours, and *correctness*, as the script appends to a file and thus upon failure may result in partial outputs—worse even, potentially mixed with correct results from earlier failure-free executions.

Challenges for fault tolerance: The script in Fig. 2 illustrates why fault tolerance is so challenging: it invokes black-box commands (e.g. `uniq -c` at L_{17}) whose internal counters cannot be inspected or checkpointed (D1), passes data through chains of ephemeral UNIX pipes (e.g. from `grep` to `sort` to `uniq`) with no built-in barriers or offsets (D2), and relies on side-effectful operations (e.g. the append operator >> at L_{17}) that risk duplication or partial writes upon retry (D3). Control-flow constructs such as `for log in $IN/*.log` spawn commands dynamically based on variable values, preventing any static view of the computation graph (D4). Re-executing the entire script on 150GB of logs takes over 3 hours, so coarse-grained restart is prohibitively expensive (D5). Finally, these are often legacy or incrementally maintained scripts, so any fault-tolerance scheme must operate transparently on unmodified POSIX shell programs (D6).

Fractal overview: Fig. 3 presents an overview of FRACTAL. FRACTAL builds on a DFG representation of a POSIX shell script via PaSh-JIT [37]. FRACTAL's coordinator then leverages command annotations to partition subgraphs into one of three types with different fault-recovery semantics (Fig. 3 A1): (1) main , which contains the AST region previously deemed as "unsafe" for distribution and is executed on a node containing the authoritative shell state and broader environment—typically, the client node from which the computation is initiated, (2) regular , which does not include an aggregator vertex, and (3) merger , which includes an aggregator vertex, such as `sort -m`, responsible for merging the outputs of multiple upstream subgraphs, It then instruments every inter-subgraph edge with lightweight communicative primitives (Fig. 3 A2) that record delivered byte offsets and enforce exactly-once semantics over ad-hoc UNIX pipes. Once prepared, the coordinator schedules subgraphs across executor nodes and relies on progress and health monitors to track execution progress and detect failures (Fig. 3 A4-6). On node failure, it identifies and re-executes only the minimal downstream subgraphs that did not complete, ensuring both correctness and efficiency in recovery. Executors (Fig. 3 B1-4) receive their assigned subgraphs, reconstruct them into shell scripts, and run them in a tight, non-blocking event loop that maximizes CPU utilization without oversubscription.

Results: On a 30-node Cloudlab cluster (§6), FRACTAL executes Fig. 2's script in 220s (speedup: 40×). Upon failure at 50% of the execution, FRACTAL executes only the necessary fragments—outputting correct results across all local and HDFS files in

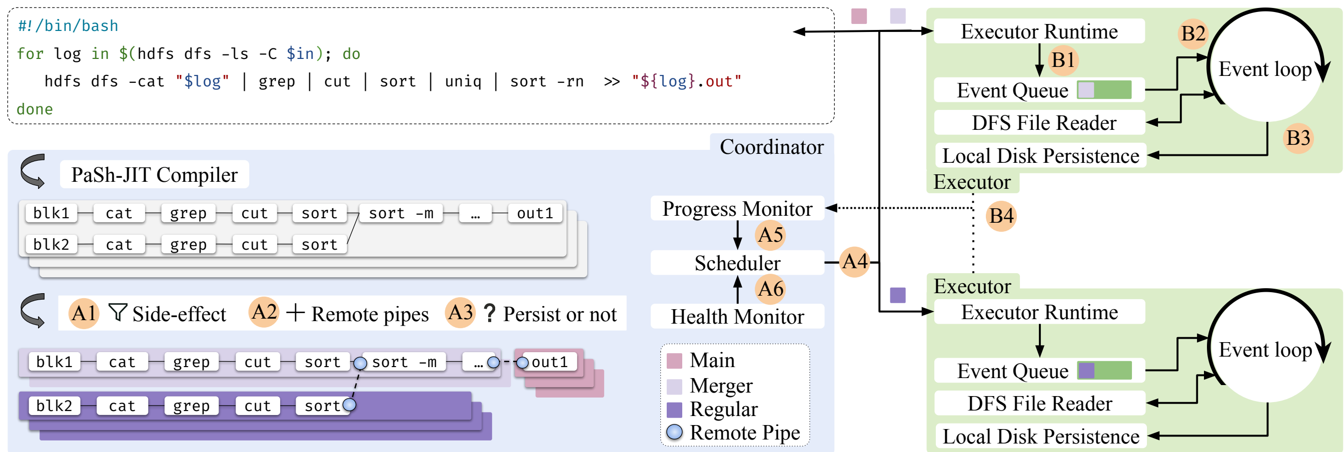

Fig. 3: FRACTAL's architecture. From a client shell script, FRACTAL uses PaSh-JIT to build a DFG, applies annotations to isolate the unsafe main subgraph (A1), and splits the rest into regular and merger subgraphs at HDFS block boundaries. It then instruments each edge with remote-pipe primitives (A2) The coordinator schedules subgraphs (A4) and leverages the progress (A5) and health (A6) monitors to re-execute only failed fragments. Executors reconstruct subgraphs into shell scripts and run them in a tight, non-blocking event loop (B1-4), streaming data via remote pipes, the distributed file reader, and local cache.

330s (27.1×).

4 System Design

This section presents FRACTAL's fault recovery design. It then introduces FRACTAL's core components that drive execution and recovery.

4.1 Fault Recovery in FRACTAL

When the health monitor alerts the coordinator about a node failure, rescheduling of the necessary subgraphs occurs in five steps where FRACTAL (1) identifies all incomplete subgraphs assigned to the crashed node and, by querying progress monitoring, their dependencies; (2) sends `kill` requests to subgraphs that cannot be used in the new execution plan; (3) updates the progress monitor according to the new execution plan; (4) identifies subgraphs that no longer need to be re-executed because their results are persisted; (5) distributes the optimized list of subgraphs based on the new execution plan.

Some of these steps are different depending on whether the failed node is a merger or regular node. A faulty merger subgraph is rescheduled to a healthy executor, with incomplete upstream dependencies being re-routed to the same executor and complete dependencies having their persistent outputs transferred directly. A faulty regular subgraph is re-scheduled on a healthy executor, but its downstream merger is notified to continue reading the incomplete stream where the failed regular left off instead of re-executing the merger subgraph.

While the new execution plan is being prepared, the scheduler may receive new dataflow graphs to distribute. To avoid concurrent modifications to the progress monitor and further complications, crash handling and scheduling are performed under locks and are mutually exclusive.

When a loop is unrolled into parallel subgraphs, FRACTAL tracks read-write and write-write dependencies between iterations to establish execution order and isolation. If an iteration's corresponding subgraph fails, the scheduler applies the standard five-step crash-handling procedure only to that iteration and any upstream dependencies, while independent iterations are neither reissued nor re-executed. Completed iterations either reuse persisted outputs or are simply skipped, ensuring failures in one iteration do not force recomputation of its peers unless necessary.

4.2 FRACTAL Components

DFG augmentation: Before scheduling, FRACTAL augments the dataflow graph (DFG) by inserting remote pipes at every inter-subgraph boundary to track execution progress and enforce exactly-once semantics during fault recovery. For example, in

Fig. 3(A4), remote pipes are placed at the boundary between the `merger` and `regular` subgraphs as well as between the `merger` and `main` subgraphs. Once augmented, the scheduler assigns each subgraph to an executor node, replaces the original inter-subgraph edges with these remote pipes, and updates the progress-monitor metadata accordingly. Remote Pipes serve as unidirectional channels connecting a writer (source) and a reader (destination) identified by the same edge ID.

Progress monitor: The progress monitor maintains all metadata needed for fault recovery: subgraph-to-node assignments, completion events, and inter-subgraph dependencies. Upon completing a send or receive operation, each subgraph emits a 17-byte completion event to the progress monitor, containing its serialized edge ID and a one-byte flag indicating whether it sent or received. The scheduler then uses these compact messages to determine exactly which subgraphs must be re-executed after a failure.

After each subgraph finishes its execution, whether it receives or sends data, it sends a special message to the progress monitor signaling its completion. This metadata is eventually used by the scheduler to decide what needs to be re-executed. These messages are intentionally small—only 17 bytes—consisting of a serialized ID identifying the communication and a single byte indicating whether the receiver or sender has finished.

Health monitor: The health monitor polls the HDFS namenode's JMX endpoint for each data node's *lastContact* heartbeat timestamp. Nodes whose *lastContact* exceeds a configurable threshold are flagged offline. This threshold involves a balance between false positives and false negatives. A small threshold may result in frequent false positives, where temporary network slowdowns are mistaken for faults, triggering costly fault recovery mechanisms. Conversely, a high threshold could cause the system to wait unnecessarily for outputs from faulty nodes. Therefore, the threshold is designed to be configurable. The default value of 10 seconds is selected arbitrarily to ensure it does not dominate the execution time during evaluation (§6), while allowing a substantial portion of the execution to complete before initiating fault recovery mechanisms. This liveness information drives the scheduler's fault recovery decisions, triggering re-executions of affected subgraphs on healthy nodes.

Executor runtime: The executor runtime receives serialized subgraphs from the coordinator and deserializes them into shell scripts. These scripts are then staged in a temporary directory whose path, along with some metadata, and enqueued as execution events.

Every 0.1s, the executor runtime performs three actions: (1) reclaims completed tasks—removing them from the active pool and recording timing and debug metadata; (2) applies pending `kill` requests from the coordinator by dropping targeted events from the queue; and (3) launches queued subgraphs up to the configured concurrency limit by spawning new processes.

Additionally, the executor runtime also manages environment setup and teardown (e.g., terminating remnants of rescheduled subgraphs to avoid duplicated executions), collects timing and diagnostic metadata, and enables controlled fault injection during evaluation.

Command annotations: Previous systems [37, 54, 56, 68] uses command annotations to identify opportunities in shell parallelization. FRACTAL uses annotations extended from PaSh-JIT and offers a flexible JSON interface that lets developers supply or override annotations for any third-party or black-box command. These annotations enable FRACTAL to distinguish safely re-executable regions (e.g., pure data transformations) from non-re-executable ones (e.g., side-effectful or non-deterministic operations), ensuring only subgraphs containing all safe commands are re-exeucuted on failure. Regions cannot be safely re-executed are offloaded to be part of the `main` subgraph, which is executed on the client node.

4.3 Design Notes

State-of-the-art architectures for shell script distribution adopt the dataflow graph (DFG) model, whose performance and correctness were established by prior research [31, 37, 68]. Naturally, FRACTAL is built on a similar DFG abstraction, which provides a foundation for building fault-recovery mechanisms while imposing negligible overhead during fault-free execution. Moreover, like state-of-the-art architectures, FRACTAL employs a centralized master-worker architecture. This pattern not only aligns seamlessly with the DFG model, but also simplifies our later evaluation and direct comparison of coordinator and executor costs against systems such as DISH.

In our health monitor, relying on HDFS heartbeats is an intentional choice to avoid scenarios where FRACTAL nodes appear to be available but HDFS nodes are not, or vice versa. This creates an additional dependency between HDFS and FRACTAL, but since any distributed file system must include a heartbeat mechanism, it should be possible to use these heartbeats as an indication of liveliness for FRACTAL nodes.

5 Fault Injection

To aid parameter selection and recovery characterization, FRACTAL's fault-injection subsystem, available as a command-line tool called **`frac`**, allows injecting runtime fail-stop and fail-restart faults in large-scale distributed deployments. The **`frac`** subsystem is agnostic to deployment and component internals, and has been used to inject faults to FRACTAL, DISH, and AHS across a variety of environments. Contrary to manual killing, **`frac`** offers automation, operates at byte-level and millisecond-level precision, can be driven by key events, allows automated restarts—and, by operating at the process-tree level, offers significant performance improvements over complete node shutdown, accelerating parameter selection and recovery characterization.

Hard faults: Manually shutting down compute nodes running the executor processes, termed *hard fault*, ensures they end up offline by issuing commands to the host environment. Unfortunately, hard faults are hard to automate at large-scale experiments. Existing VM shutdown tools are not ideal because the aforementioned experiments require non-graceful shutdowns, and verifying that nodes have truly come back up requires custom Docker-level health checks (e.g., polling until each block reaches its target replication factor).

Hard faults additionally do not support fine-grained control over the timing of fault events, crucial for precisely characterizing a systems' recovery behavior. Precision is particularly important for systems that prioritize minimizing runtime over load balance. Contrary to these systems, ones that prioritize balancing load over minimizing latency make roughly the same progress across all participating nodes—for example, AHS' mapper and reducer executions are load-balanced across the cluster and thus a fault injection will hit a nodes at roughly the same execution point as other nodes. But other systems such as FRACTAL see imbalanced progression across regular and merger nodes, thus requiring and benefiting from improved precising in fault injection.

Soft faults: The **`frac`** tool supports two modes of *soft faults*. A data-plane mode injects into the data stream a special fault-sequence token that uniquely matches a wrapper in one of the nodes. The token flows through the entire DFG, propagated downstream by command wrappers, and is duplicated by DFG splitters—*i.e.*, commands that split the input data to identical subgraphs that implement parallel execution. Most wrappers propagate the token upon receipt, *i.e.*, they do not feed it into the command they wrap but propagate it to the output stream—except for the one wrapping the ingress edge of the DFG subgraph targeted by the fault token. Upon receipt, the target wrapper kills all processes in the subgraph. Data-plane soft faults offer fine-grained byte-level precision for determining the exact point at which to inject a node fault, when the precise fault conditions hinge on specific elements of the data stream.

A control-plane mode sends a special token directly to the node responsible either at a specific time point or by the trigger of a specific event. Additional automation collects baseline execution times about the various jobs on each node. In a subsequent run, the coordinator injects the fault at a configurable time or percentage of a node's fault-free execution time. Focusing on the completion percentage of individual nodes is important in cases where the execution is not balanced—for example, 50% end-to-end job completion does not translate to 50% of AHS's map or FRACTAL's regular node execution, as these nodes typically consume a minority of the runtime. Once it receives a message from the coordinator, the fault terminates its HDFS datanode process and kills the corresponding process. Control-plane soft faults offer coarser-grained precision for determining the poitn at which to inject a fault, often incorporating higher-level goals such as completion percentages.

6 Evaluation

This section characterizes FRACTAL's performance under fail-free and fault-induced execution.

6.1 Setup

Baseline: We compare FRACTAL with Apache Hadoop Streaming AHS [29], a production-grade fault-tolerant distributed-computing system that supports black-box Unix commands.

Benchmarks: We used five sets of real-world benchmarks (Tab. 2), totaling 77 scripts and 547 lines of shell code (LoC) excluding empty lines and comments. The Classics [2, 3, 36, 47, 65] and Unix50 [4, 41] benchmarks comprise scripts that extensively invoke UNIX and Linux built-in commands. The NLP [8] benchmarks features scripts from a tutorial focused on developing natural language processing programs using UNIX and Linux utilities. The Analytics [67, 71] benchmarks features data-processing scripts, including actual telemetry data from mass-transit schedules during a large metropolitan area's COVID-19 response and multi-year temperature data across the US. The Automation [56, 58, 60] benchmarks features scripts for processing, transforming, and compressing video and audio files, typical system administration and network traffic analyses over log files, and aliases for encrypting and compressing files.

Tab. 2: Benchmark summary. Summary of all the benchmarks used to evaluate FRACTAL and their characteristics.

Benchmark	Scripts	LoC	AHS	Input
Classics	10	103	✓	3 GB
Unix50	34	34	✓	10 GB
NLP	22	280	✘	10 GB
Analytics	5	62	✓	33.4 GB
Automation	6	68	✘	2.1-30 GB

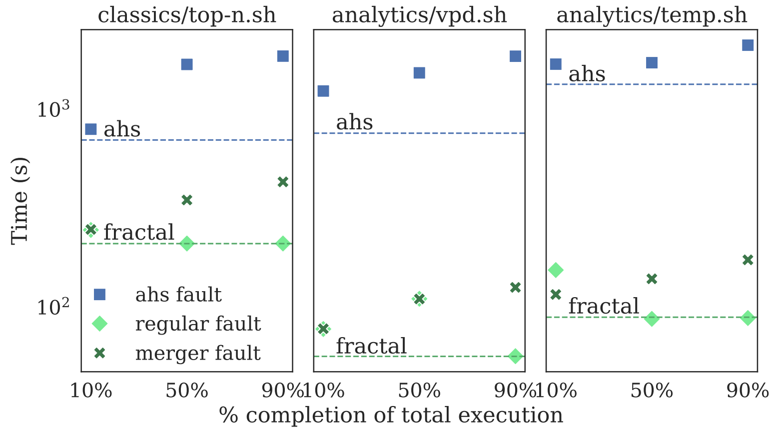

Fig. 4: Recovery comparison (*Cf.*§6.2). Comparison between the FRACTAL and AHS recovery times for 3 representative scripts (left, mid, right), with faults introduced at 10%, 50%, and 90% of the execution—and without faults (dashed lines).

Hardware & software setup: Experiments were conducted on two clusters: (1) 30 x Cloudlab m510 nodes, each with 8-core Intel Xeon D-1548 CPU at 2.0 GHz, 64GB RAM, 256 GB NVMe, and 10-Gb connection; (2) 4 x on-premise Raspberry Pi-5 nodes, each with a 4-core Arm Cortex A76 CPU at 2.4 GHz, 8GB RAM, 1TB SSD, and 1-Gb connection.

To improve reproducibility and ease deployment, we use Ubuntu 22.04-based Docker Swarm images on both 4 and 30 node clusters. We used Bash v5.1.16, Apache Hadoop v3.4.0, Python v3.10.12, and Go v1.22.2.

FRACTAL is developed on top of the PaSh-JIT compiler [37], which includes a Python re-implementation of libdash [26] a POSIX-compliant shell-script parser. FRACTAL adds 2K lines of Python (scheduler, monitors, executor runtime), 1.1K lines of Go (remote pipe and services), and 0.73K lines of shell script. An additional 389 lines of Python and 4.1K lines of shell scripts comprise the `frac` tool.

Correctness: Apart from careful engineering and many unit tests, the results of over 100 repetitions across several dozen distributed deployments and fault scenarios, over 70 scripts, and over 200GB of inputs are identical to those of the sequential script execution, offering significant confidence about FRACTAL's correct execution and recovery.

FRACTAL performs better than AHS due to its whole-program optimizations, exploiting more opportunities for parallelism: AHS programs often contain multiple `map` and `reduce` stages, thus leaving pipeline parallelism, data parallelism, and task parallelism due to DLOpt unexploited.

6.2 Performance of Fault Recovery

We characterize FRACTAL's fault recovery with one experiment comparing FRACTAL with AHS failing at various stages and another characterizing FRACTAL under more scenarios.

Experiments: The first experiment assesses the time required for FRACTAL and AHS to recover and successfully complete the job on the 4-node deployment. We introduce faults at approximately 10%, 50%, and 90% of the baseline execution time: at 10%, AHS executes mappers and FRACTAL executes regular subgraphs; at 90%, AHS executes reducers and FRACTAL executes merger subgraphs. Faults are introduced to an arbitrary AHS and both a base-case regular node and a worst-case merger node (in separate runs). Combining all these configurations with hard and soft faults to confirm **frac** across systems results in prohibitive

Tab. 3: FRACTAL's speedup over AHS for different failure conditions and recovery scenarios. Format: avg (min–max).

	Regular Recovery	**Merger Recovery**
Fail at 10%	7.8× (3.2–16.0×)	8.5× (3.2–15.9×)
Fail at 50%	12.1× (8.0–19.7×)	8.3× (4.8–13.9×)
Fail at 90%	16.4× (8.9–32.9×)	8.0× (4.3–14.3×)

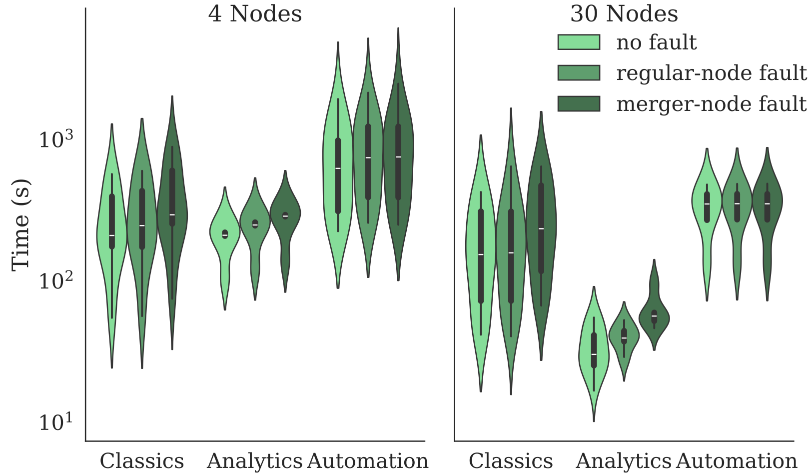

Fig. 5: Recovery comparison (soft faults) (*Cf.*§6.2). FRACTAL execution times for three benchmark sets (Classics, Analytics, Automation) with no faults, regular faults, and merger faults on a 4-node Pi-5 cluster (left) and a 30-node Cloudlab cluster (right).

manual effort, thus for this experiment we focus on three of the collected benchmarks.[1]

The second experiment zooms into FRACTAL's fault recovery under different failure scenarios on both clusters. It employs soft faults using **frac**, and all benchmarks except NLP and Unix50 as they contain many short-running scripts.

Results: Fig. 4 summarizes the first experiment. The x-axis shows different completion percentages and the y-axis shows the time it takes AHS and FRACTAL (both regular and merger nodes) to recover. For context, dashed lines (constant across the x-axis) represent the fault-free executions for AHS (avg: 937.6s) and FRACTAL (avg: 118.9s). Under regular faults, it takes FRACTAL 160s (134.5% vs. fault-free), 136.5s (114.8%), and 118.8s (100.1%) to recover for each execution percentile; under merger faults, these become 147.7s (124.2% vs. fault-free), 200.2s (168.3%), and 244.4s (205.6%) respectively. For the same percentiles, it takes AHS 1,248.8s (133.2% vs. AHS fault-free, 780.9% vs. regular, 845.5% vs. merger), 1,655.8s (176.6% vs. AHS fault-free, 1,213.2% vs. regular, 727.1% vs. merger), and 1,953.4s (208.3%, 1,644.3%, 799.1%). Tab. 3 summarizes the comparison between AHS and FRACTAL.

Fig. 5 summarizes the second experiment, showing execution times for fault-free, regular recovery, and merger recovery. Benchmarks with fewer parallel pipelines such as Classics and Analytics take 20.3–32.1% longer to recover from merger (209.4–344.8s) than regular node faults (150.4–277.6s). For other benchmarks, there is not a significant difference between the recovery of different nodes (335.3–845.0s for regular vs. 335.7–856.5s for merger).

Discussion: Overall, the first experiment shows that FRACTAL recovers at a fraction (6.08–12.8%) of AHS's recovery time. Most benefits are due to its selective re-execution of affected-only portions, while still enjoying all parallelization benefits (§**??**) during re-execution.

Failures that occur later generally result in longer recovery times (Fig. 4), as they require more upstream subgraphs to be re-executed—except for FRACTAL's regular failures, whose recovery does not interfere with end-to-end performance due to their completion. This non-interference of regular nodes will be important in practical deployments, as we have found that the vast majority of nodes in a distributed execution are regular nodes—thus have significantly higher probability to be affected by a fault in the underlying infrastructure.

As this experiment compares *hard* faults introduced manually and *soft* faults injected by **frac**, it confirms that the two modes

[1] Total: 3 completion percents × 3 system configs (AHS, regular, merger) × 2 failure modes × 5 repetitions × 3 benchmarks = 270 experiments (about a week of manual effort) instead of 6,930 experiments.

result in identical executions across 270 experiments—but **frac** completes experiments at about 2–5% of the hard-fault time, and without the mental overhead of keeping manual track of various experiment timepoints.

Diving into various types of recovery (Fig. 5) indicates that the pipeline-to-node ratio of pipelines is correlated with merger-to-regular node recovery performance. This observation is intuitive for two reasons. First, benchmarks that rely on a single pipeline—such as `Classics` and `Analytics`—experience longer recovery times from merger faults than from regular faults, since regular faults involve re-executing fewer subgraphs. Second, benchmarks with many pipelines (*e.g.*, `Automation`) are indifferent to merger and regular recovery times: having a larger number of merger subgraphs distributes the workload evenly, effectively making every node a merger node and neutralizing the impact type.

7 Related Work

FRACTAL is related to a large body of work in distributed shells and shell-related utilities, distributed computing frameworks, and language-based distributed systems.

Distributed shells and utilities: Several command-line job-scheduling tools allow distributing workloads on Unix systems—*e.g.*, `qsub` for the Sun Grid Engine [23] and `parallel` for GNU Parallel [63]—but their invocation requires careful manual orchestration and does not come with fault tolerance built-in. Slurm [72], a workload manager for distributing batch jobs across computing clusters, provides periodic check-pointing for later resumption using DMTCP [38]. This mechanism focuses only on recovering Slurm-visible state, does not support complex commands, and fails to account for thorny shell semantics such as append (§3).

Shells like Rc [15], Dgsh [61], and gsh [46] offer scalable, often non-linear and acyclic, extensions to Unix pipelines but require manual rewriting and do not tolerate failures.

Recent systems offering automated parallelization and distribution of shell programs such as PaSh [37, 68], POSH [56], and DISH [54] optimize the execution of shell programs by offloading and scaling out distribution automatically. Similar to FRACTAL, they employ a series of techniques for automatically compiling scripts to an internal representation which they then parallelize and distribute, but different from FRACTAL tolerate no failures.

Distributed computing frameworks: FRACTAL combines elements from distributed batching and streaming systems [12, 50, 52, 53, 55, 62, 70, 73]. These systems offer the ability to tolerate failures, often by tracking lineage similarly to FRACTAL [50, 73], but require their users to (re-)implement their programs using the abstractions these systems provide. These systems do not support the black-box nature, runtime expansion behaviors, and arbitrary side effects pervasive in the commands typically present in shell programs.

Hadoop Streaming [29] and Dryad Nebula [33] are unusual in that they allow the use of black-box components such as Unix commands. However, they do not target the semantics of the shell and thus require users to manually port their shell programs—often facing the difficulty or inability to express entire classes of shell programs as FRACTAL's evaluation confirms. FRACTAL provides automated scale-out of unmodified shell scripts, supports the shell's dynamic-expansion features, and offers efficient fault tolerance by tracking lineage.

Other cloud offerings: Prior work on VM- and container-level replication has used check-pointing [10, 11, 16, 39, 45] to tolerate failures. Contrary to FRACTAL, these approaches leverage logging, require infrastructure support, and lack a logical understanding of the workload.

Serverless platforms have started introducing stateful operations [35, 44, 74] and thus fault tolerance, through a combination of logging and check-pointing. Different from FRACTAL, these systems do not support shell scripts or arbitrary black box commands—users need to (re)write their scripts in the abstractions provided by these systems to see benefits.

The `gg` system [20] supports scaling black box commands to serverless functions. In contrast to FRACTAL, `gg` does not support pipeline parallelism and attempts full executions via a re-try mechanism when faults occur.

Languages for distributed systems: Many domain-specific languages [1, 5, 14] focus on special classes of distribution, treating the ability to tolerate certain classes of failures as first-class concern. Unlike FRACTAL, they do not support general-purpose computations combining third-party components.

General languages targeting distribution [17, 48, 49, 69] such as Elixir and Erlang place particular emphasis on tolerating and recovering from failures. Contrary to FRACTAL, they require their users to rewrite their shell scripts in a new language, foregoing many of the benefits of language-agnostic black-box program composition common in the Unix shell.

8 Conclusion

Transparent fault tolerance is a *sine qua non* for scalable shell-script distribution: without it, unmodified POSIX scripts cannot reliably handle the black-box binaries, ad-hoc pipes, non-idempotent side effects, and dynamic control flow that characterize real-world workflows.

This report has mapped the core requirements for fault-tolerant shell distribution systems, and shown that existing checkpointing, barrier, and lineage approaches each fall short. To demonstrate one viable solution, a proof-of-concept prototype (FRACTAL) was built. FRACTAL is the first system that offers fault-tolerant shell-script distribution by separating recoverable from side-effectful regions. It performs lightweight instrumentation to record byte-level progress and enforce exactly-once semantics. By employing precise dependency and progress tracking at the subgraph level, it offer sound and efficient fault recovery.

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